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Attorney Docket No.	24076-3	ΡŢ	٥
First Inventor	Hadjicostis, Andreas	S	
Title	MINIATURE ULTRASONIC PHASED ARRAY FOR INTRACARDIAC AND INTRACAVITY APPLICATION	IS S	0
Express Mail Label No.	EL 984271614 US	Ó	6

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR § 1.53(b)) Mail Stop Patent Application **APPLICATION ELEMENTS** ADDRESS TO: Commissioner for Patents P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents Alexandria, VA 22313-1450 1. 🛛 CD-ROM or CD-R in duplicate, large table or \*Fee Transmittal Form (e.g., PTO/SB/17) (submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. 🛛 Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. з. 🔯 (if applicable, all necessary) Specification [Total Pages 42] (preferred arrangement set forth below) Computer Readable Form (CRF) Descriptive title of the Invention Specification Sequence Listing on: Cross References to Related Applications - Statement Regarding Fed sponsored R & D i. D-ROM or CD-R (2 copies); or - Reference sequence listing, a table, or a computer program listing appendix or computer program listing appendix Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure Assignment Papers (cover sheet & document(s)) 37 C.F.R. § 3.73(b) Statement Power of Attorney (when there is an assignee) 4. 🛛 Drawing(s) (35 U.S.C. 113) (Total Sheets 11 English Translation Document (if applicable) ☐ Informal ☐ Formal 5. Oath or Declaration [Total Pages 12 Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations Newly executed (original or copy) **Preliminary Amendment** 14. Return Receipt Postcard (MPEP 503) Copy from a prior application (37 C.F.R.§1.63(d)) (Should be specifically itemized) (for continuation/divisional with Box 18 completed) Certified Copy of Priority Document(s) **DELETION OF INVENTOR(S)** (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). named in the prior application, see 37 C.F.R. §§ Applicant must attach form PTO/SB/35 or its equivalent. 1.63(d)(2) and 1.33(b). 17. Other: Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Continuation-in-part (CIP) of prior application No: ☐ Divisional Group / Art Unit: Prior application information: Examiner: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below **Customer Number:** Name Woodard, Emhardt, Moriarty, McNett & Henry LLP Bank One Center/Tower Address 111 Monument Circle, Suite 3700 Zip Code 46204-5137 City Indianapolis State Country Telephone (317) 634-3456 (317) 637-7561

Registration No. (Attorney/Agent) 39,797 Name (Print/Type) L. Scott Paynter Date October 15, 2003 Signature

Expr ss Mail Label Number EL 984271614 US

Date f Deposit Oct ber 15, 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450. Alexandria, YA 22313-1450.

Signature of person mailing paper or fee

This is collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

For each additional invention to be examined

Request for Continued Examination (RCE)

Request for expedited examination of a

(37 CFR 1.129(b))

design application

WEMMH/SB/17 (10/03)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known -FEE TRANSMITTAL **Application Number NEW NEW FOR FY 2003** Filing Date Hadjic stis, Andreas First Named Inventor fective 01/01/2003. Patent fees are subject to annual revision. **Group Art Unit** Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** 24076-3 Amount of Payment (\$)849.00 Attorney Docket Number METHOD OF PAYMENT FEE CALCULATION (continued) 3. ADDITIONAL FEES Money Check Credit card Other None Large Entity Small Entity Fee Paid Order Fee Description Fee Fee Code (\$) (2) Deposit Account: Surcharge - late filing fee or oath 2051 1051 130 65 Deposit Surcharge - late provisional filing fee or cover 23-3030 25 1052 50 2052 Account sheet. Number 1053 130 1053 130 Non-English specification Deposit Woodard, Emhardt, Moriarty, 1812 1812 2,520 For filing a request for ex parte reexamination 2.520 Account McNett & Henry LLP Name Requesting publication of SIR prior to 1804 920\* 1804 920\* Examiner's Action The Commissioner is authorized to: (check all that apply) Requesting publication of SIR after 1,840\* 1805 1,840\* 1805 Examiner's Action ☐ Charge fee(s) indicated below ☐ Credit any overpayments 2251 55 Extension for reply within first month ☑ Charge any additional fee(s) during the pendency of this application, 1251 110 excluding the payment of issue fees ☐ Charge fee(s) indicated below, except for the filing fee to the above-2252 210 Extension for reply within second month 1252 420 identified deposit account. 1253 2253 475 Extension for reply within third month **FEE CALCULATION** 950 1254 2254 740 Extension for reply within fourth month 1. BASIC FILING FEE 1,480 Extension for reply within fifth month Large **Small** 1255 2.010 2255 1.005 **Entity Entity** Fee Fee Paid Fee Fee Fee (\$) Fee (\$) Description 1401 Notice of Appeal 2401 165 330 Code Code 1402 330 2402 165 Filing a brief in support of an appeal Utility Filing Fee 385.00 1001 770 2001 385 1403 290 2403 145 Request for oral hearing 170 Design Filing Fee 340 2002 1002 1451 1451 1,510 Petition to institute a public use proceeding 265 Plant Filing Fee 1.510 2003 1003 530 Reissue Filing Petition to revive - unavoidable 1452 110 2452 55 1004 770 2004 385 Provisional Filing 1453 2453 665 Petition to revive - unintentional 1.330 1005 160 2005 80 Fee 665 Utility issue fee (or reissue) SUBTOTAL (1) (\$)385.00 1501 1.330 2501 2. EXTRA CLAIM FEES 1502 480 2502 240 Design issue fee Fee From Below Fee Paid 1503 640 2503 320 Plant issue fee Claims Total Claims 48 -20\*\* = 28 9 252.00 1460 130 -1460 130 Petitions to the Commissioner 172.00 Independent -3\*\* 4 43 1807 1807 50 Petitions related to provisional applications 50 Claims Multiple Dependent 1806 180 1806 180 Submission of Information Disclosure Stmt Recording each patent assignment per 40.00 8021 40 8021 40 property (times number of properties) Fee Description Filing a submission after final rejection (37 Large Entity **Small Entity** 2809 375 1809 750 CFR 1.129(a)) Fee Fee Fee Fee

SUBTOTAL (2) (\$) 424.00 "or number previously paid, if greater; For Reissues, see above		• * * * * * * * * * * * * * * * * * * *	Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (3) 40.00		
SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	L. Scott Paynter	79-	Registration No.	39,797	Telephone	(317) 634-3456	
Signature	2	Sab No	~		Date	October 15, 2003	

Other Fee (specify)

1810

1801

1802

770

770

900

2810

2801

1802

385

385

900

Code

1202

1201

1203

1204

1205

(\$)

18

86

290

86

18

Code

2202

2201

2203

2204

2205

(\$)

9

43

145

43

9

Claims in excess of 20

original patent

Independent claims in excess of 3

Multiple dependent claim, if not paid

\*\*Reissue independent claims over

\*\*Reissue claims in excess of 20

and over original patent

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